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Application No.:	10/069,180	Art Unit:	tba
Filed:	2/15/2002	Examiner:	tba
1 <sup>st</sup> Inventor:	S. OHKAWA	Allowed:	
For:	Tricyclic Dibenzofuran Derivatives, Process for the Preparation Thereof and Agents	Batch:	
Atty Dkt. No.	2628 USOP	Paper No.:	

The USPTO is requested to kindly acknowledge receipt of the following items:

1. This Postcard (1 page)
2. Transmittal Form (1 page X 2)
3. Supplemental Information Disclosure Statement (1 page) with Form PTO 1449 (1 page), and cited references (4)
4. European Search Report dated February 6, 2003 (4 pages)

Respectfully submitted 3/11/03 For Customer No. 23,115  
 By U.S. First Class Mail  
 By Mark Chao, PhD, JD - Reg. No. 37,293

118

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Filed:	2/15/2002	Examiner:	tba
1 <sup>st</sup> Inventor:	S. OHKAWA	Allowed:	
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/069,180
		Filing Date	2/15/2002
		First Named Inventor	S. OHKAWA
		Group Art Unit	tba
		Examiner Name	tba
Total Number of Pages in This Submission	5	Attorney Docket Number	2628 USOP

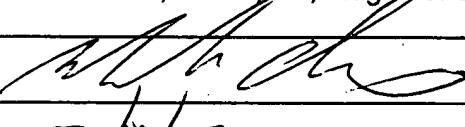
### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard. Form PTO 1449 Cited References (4)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

#### Remarks

The Commissioner is hereby authorized to  
charge any additional fees which may be  
required, or credit any overpayment to  
Deposit Account 500799.

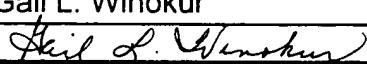
### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Mark Chao, Ph.D., JD, Reg. No. 37,293
Signature	
Date	3/11/03

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class  
mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

3/11/03

Typed or printed name	Gail L. Winokur
Signature	
Date	3/11/03

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.:	10/069180	Art Unit:
Filed:	2/15/2002	Examiner:
1 <sup>st</sup> Inventor:	OHKAWA	Allowed:
For:	Tricyclic Dibenzofuran Derivatives, Process for the Preparation Thereof and Agents	Batch:
Atty. Dkt. No.	2628USOP	Paper No.:

**Information Disclosure Statement**

Commissioner for Patents

Washington, D.C. 20231

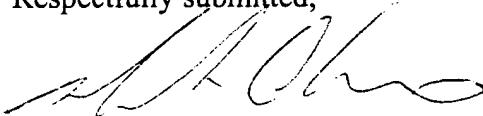
Sir:

Pursuant to 37 CFR §1.56, 1.97 and 1.98, applicants request consideration of the references listed on the attached form PTO-1449. A legible copy of each listed reference is enclosed.

Enclosed is a copy of the European Search Report dated FEB 6, 2003, in which these references were first brought to the Applicant's attention less than three-months prior to filing this information disclosure statement.

Should the Examiner believe that a conference with applicants' attorney would advance prosecution of this application, the Examiner is respectfully invited to call applicants' attorney.

Respectfully submitted,



Dated: March 6, 2003

(847)383-3372  
(847)383-3391

Mark Chao, Ph.D., Reg. No. 37,293  
Elaine M. Ramesh, Ph.D., Reg. No. 43032  
Attorney for Applicants  
Customer No. 23115

Takeda Pharmaceuticals North America, Inc.  
Intellectual Property Department  
Suite 500, 475 Half Day Road  
Lincolnshire, IL 60069 USA

Page 1 of 1

FORM PTO 1449 (*modified*)ATTY DOCKET NO.:  
2628USOPSERIAL NO.:  
10/069,180

U.S. DEPARTMENT OF COMMERCE  
 PATENT AND TRADEMARK OFFICE  
 LIST OF REFERENCES CITED BY APPLICANT(S)  
*(Use several sheets if necessary)*

Date Submitted to PTO:

APPLICANT:  
OHKAWA et al.FILING DATE:  
2/15/2002

GROUP:

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF No.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	B1	5,420,289	May 30, 1995	Musser et al.	548	159	
	B2	5,478,844	DEC 26, 1995	Aono et al.	514	320	

FOREIGN PATENT DOCUMENTS							
*EXAMINER INITIAL	REF No.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	B3	EP 0 992 496 A1	12.04.2000	EP			
	B4	EP 0 345 593 A1	13.12.89	EP			

OTHER DOCUMENT(S)							
*EXAMINER INITIAL	REF No.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.					

EXAMINER:	DATE CONSIDERED:
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.